

**RECEIVED
CENTRAL FAX CENTER**

FROM McANDREWS, HELD, & MALLOY

JUL 12 2006 7:12'06 16:04/ST. 16:03/NO. 4861050948 P. 3

PTO/SB/17 (01-08)

Approved for use through 07/31/2006. OMB 0851-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2006		Complete if Known	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.2/		Application Number	10/001,494
		Filing Date	October 31, 2001
		First Named Inventor	Roberto A. Capodict
		Examiner Name	Nina NMN Bhat
		Art Unit	1764
TOTAL AMOUNT OF PAYMENT (\$) 180.00		Attorney Docket No.	12598US03

METHOD OF PAYMENT (check all that apply)

☐ Check
 ☐ Credit Card
 ☐ Money Order
 ☐ None
 ☐ Other (please identify): _____

☒ **Deposit Account** Deposit Account Number: 13-0017 Deposit Account Name: McAndrews Held & Malloy
 For the above-identified deposit account, the Director is hereby authorized to (check all that apply)

☒ Charge Fee(s) indicated below
 ☐ Charge Fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayments of fees(s) ☒ Credit any overpayments under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid(\$)
	Fee (\$)	Small Entity Fee(\$)	Fee(\$)	Small Entity Fee(\$)	Fee(\$)	Small Entity Fee(\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Small Entity Fee(\$)	Fee(\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims _____ **Extra Claims** _____ **Fee(\$)** _____ **Fee Paid (\$)** _____
 _____ -20 or HP x _____ = _____
 HP = highest number of total claims paid for, if greater than 20

Indep. Claims _____ **Extra Claims** _____ **Fee(\$)** _____ **Fee Paid (\$)** _____
 _____ -3 or HP x _____ = _____
 HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee(\$)	Fee Paid(\$)
_____ -100	_____ /50	_____ (round up to a whole number)	x _____	= _____

4. OTHER FEE(S)

	Fee Paid(\$)
Non-English Specification, \$130 fee (no small entity discount)	_____
Other (e.g., late filing surcharge): <u>Filing Information Disclosure Statement</u>	\$180.00

SUBMITTED BY

Signature	<u>James H. Williams</u>	Registration No. (Attorney/Agent)	56,883	Telephone	(312) 775-8000
Name (print/type)	James H. Williams			Date	July 12, 2006



McANDREWS, HELD & MALLOY
34TH FLOOR
500 WEST MADISON STREET
CHICAGO, ILLINOIS 60661

RECEIVED
CENTRAL FAX CENTER

JUL 12 2006

ARO PLEASE DELIVER RETURN RECEIPT TO

James H. Williams

TELEPHONE: (312) 775-8000

FACSIMILE: (312) 775-8100

Certificate of Transmission under 37 CFR 1.8

CONFIDENTIAL

THE ENCLOSED MATERIAL IS INTENDED FOR THE RECIPIENT NAMED BELOW AND, UNLESS OTHERWISE EXPRESSLY INDICATED, IS CONFIDENTIAL AND PRIVILEGED INFORMATION. ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THE ENCLOSED MATERIALS IS PROHIBITED. IF YOU RECEIVE THIS TRANSMISSION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE, AT OUR EXPENSE, AND DESTROY THE ENCLOSED MATERIALS. YOUR COOPERATION IS APPRECIATED.

TO:	USPTO	FAX NO.:	571-273-8300
FROM:	McAndrews, Held & Malloy	USER ID:	8062
CLIENT:	01388	MATTER:	12598US03

Number of Pages This Transmission (Including Cover Page): Seventy-one (71)

I hereby certify that the attached Information Disclosure Statement with Form SB/08a and copies of cited foreign patents are being facsimile transmitted to the United States Patent and Trademark Office on July 12, 2006.

James H. Williams

If you have problems receiving this facsimile transmission, please contact the sender at the above telephone number.

**RECEIVED
CENTRAL FAX CENTER**

(WED) 7.12'06 16:04/ST. 16:03/NO. 4861050948 P 2

JUL 1'2 2006

PTO/SB/21 (09-04)

Approved for use through 7/31/2006

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/001,494
		Filing Date	10/31/2001
		First Named Inventor	Roberto A. Capodiecì
		Art Unit	1764
		Examiner Name	Nina NMN Bhat
Total Number of Pages in This Submission		Attorney Docket Number	12598US03
ENCLOSURES (check all that apply)			
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	
		<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Return-Receipt Postcard <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	
		Remarks	Copies of cited foreign references

UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 12598US03)

RECEIVED
CENTRAL FAX CENTER
JUL 12 2006

In the Application of:

Roberto A. Capodieci

Serial No. 10/001,494

Filed: October 31, 2001

For: ULTRASONICALLY
ACTIVATED CONTINUOUS
SLITTER APPARATUS AND
METHOD

Examiner: Bhat, Nina Nmm

Group Art Unit: 1764

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office (FAX No. (571) 273-8300) on July 12, 2006.

James H. Williams

James H. Williams
Signature

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

Pursuant to 37 C.F.R. § 1.97-1.98 and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, it is respectfully requested that the following references be considered in the examination of the above-identified patent application:

07/14/2006 AWONDAF1 00000037 130017 10001494

01 FC:1806 180.00 DA

Attorney Docket No. 12598US03

U.S. Patent No.DateName

6,070,509

06-06-2000

Lonn et. al

**RECEIVED
CENTRAL FAX CENTER**

JUL 12 2006

FOREIGN PATENTS:Patent No.DateName

DE 35 29 686 A

02-26-1987

Fein C & E

EP 0 733 409 A

09-25-1996

Rawson

EP 0 813 937 A

12-29-1997

Giamello

FR 2 620 071 A

03-10-1989

Scotto

GB 672 688 A

05-28-1952

Scott

WO 96/09919

04-04-1996

Dale et al.

The above-identified reference(s) are listed on the attached Form PTO/SB/08a and copies are also enclosed herewith. This submission is in no way intended as an admission that the above-cited references constitute prior art. Applicant expressly retains the right to take any actions necessary to remove the above-cited references from the available prior art. Consideration of the above-identified references in the examination of the present patent application is respectfully requested.

The Commissioner is hereby authorized to charge the \$180.00 fee set forth in 37 C.F.R. 1.17 (p) to the Deposit Account of McAndrews, Held & Malloy, No. 13-0017. Additionally, the Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Account No. 13-0017.

Attorney Docket No. 12598US03

Respectfully submitted,

July 12, 2006
Date

James H. Williams
James H. Williams
Registration No. 56,883

McANDREWS, HELD & MALLOY, LTD.
500 West Madison Street, 34th Floor
Chicago, Illinois 60661
Telephone: (312) 775-8000
Facsimile: (312) 775-8100

